

DOCKET NO. 215548US01

JC07 PCT/PTO 07 FEB 2002

IN RE APPLICATION OF: Peter OTTERSBAACH, et al.

SERIAL NO.: 09/926,510

FILED: November 13, 2001

FOR: PROCESS FOR PRODUCING INHERENTLY MICROBICIDAL POLYMER SURFACES

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

Sir:

Transmitted herewith is an amendment in the above-identified application.

- ☒ No additional fee is required.
- ☐ Small entity status of this application under 37 C.F.R. §1.9 and §1.27 has been established by a verified statement previously submitted.
- ☐ Small entity status of this application under 37 C.F.R. §1.9 and §1.27 has been established by a verified statement submitted herewith.
- ☒ Additional documents filed herewith: Preliminary Amendment/Declaration/Response to Notice  
Notice of Missing Requirements/Amended Sheets (Pages 2, 7, 15 and 16)

The fee has been calculated as shown below.

OTHER THAN A

| (Col. 1)                                       | (Col. 2)                     | (Col. 3) | SMALL ENTITY                                | SMALL ENTITY     |        |                   |        |                   |
|--|------------------------------|----------|---|------------------|--------|-------------------|--------|-------------------|
|  | CLAIMS<br>REMAINING<br>AFTER |          | HIGHEST<br>NUMBER<br>PREVIOUSLY<br>PAID FOR | PRESENT<br>EXTRA | RATE   | ADDITIONAL<br>FEE | RATE   | ADDITIONAL<br>FEE |
| TOTAL  | * 12                         | MINUS    | ** 20                                       | = 0              | X9 =   | \$                | X18 =  | \$ .00            |
| INDEP  | * 1                          | MINUS    | *** 3                                       | = 0              | X39 =  | \$                | X80 =  | \$ .00            |
| FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM |                              |          |   |                  | +130 = | \$                | +270 = | \$                |
| TOTAL  |                              |          |   |                  |        | \$                | TOTAL  | \$ .00            |

A check in the amount of \$\_\_\_\_\_ is attached.

XX Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.

XX If these papers are not considered timely filed by the Patent and Trademark Office, then a petition is hereby made under 37 C.F.R. §1.136, and any additional fees required under 37 C.F.R. §1.136 for any necessary extension of time may be charged to deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.

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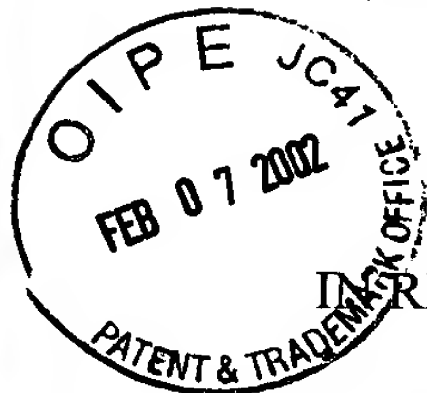
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\*If the entry in Column 2 is less than the entry in Column 1 write "0" in Column 3.  
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Rec'd PCT/PTO 07 FEB 2002

215548US-0XPCT



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IN THE UNITED STATES PATENT & TRADEMARK OFFICE

IN RE APPLICATION OF

PETER OTTERSBACH ET AL

:  
: ATTN: APPLICATION DIVISION

SERIAL NO: 09/926,510

FILED: NOVEMBER 13, 2001

FOR: PROCESS FOR PRODUCING  
INHERENTLY MICROBICIDAL  
POLYMER SURFACES

PRELIMINARY AMENDMENT

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

SIR:

Prior to examination on the merits, please amend the above-identified application as follows.

IN THE CLAIMS

Please cancel Claims 8-11.

Please amend the claims as shown in the marked-up copy following this amendment to read as follows.

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1. (Amended) A process for preparing an antimicrobial polymer, said process comprising
- polymerizing one or more aliphatically unsaturated monomers, said one or more aliphatically unsaturated monomers at least singly functionalized by means of a tertiary amino group.